

Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
17075-003004 (0102D)Application No.  
10/081,569List of Patents and Publications for Applicant's  
Information Disclosure StatementApplicant  
Gholam-Reza Zadno-Azizi, et al.Filing Date  
February 21, 2002Group Art Unit  
3738

(37 CFR §1.98(b))

## U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
UC	AA	2003/0212452	11/13/03	Zadno-Azizi et al.	623	1.24	06/03/03
	AB	2003/0199972	10/23/03	Zadno-Azizi et al.	623	1.24	04/18/03
	AC	5,522,881	06/04/96	Lentz	623	1	06/28/94
	AD	5,824,037	10/20/98	Fogarty et al.	623	1	10/03/95
	AE	5,843,158	12/01/98	Lenker et al.	623	1	02/06/96
	AF	5,891,195	04/06/99	Klostermeyer et al.	623	2	05/24/96
	AG	6,632,243	10/14/03	Zadno-Azizi et al.	623	1.24	09/16/99
	AH						
	AI						
	AJ						
	AK						

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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**INFORMATION DISCLOSURE STATEMENT**

UNDER 37 CFR §1.56, §1.97, and §1.98

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ATTORNEY DOCKET NO.:  
38349-0102D

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APPLICANTS:

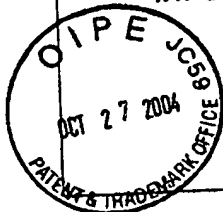
Zadno-Azizi *et al.*

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**U.S. PATENT DOCUMENTS**

† EXR INITIAL	REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
<i>W</i>	A	2003/0050648 (A1)	03/13/03	Altfermess <i>et al.</i>	606/108	09/11/01
	B	2003/0018344 (A1)	01/23/03	Kaji <i>et al.</i>	606/130	07/19/02
	C	2003/0018327 (A1)	01/23/03	Truckai <i>et al.</i>	606/32	07/18/02
	D	2002/0138135 (A1)	09/26/02	Duerig <i>et al.</i>	623/1.24	03/21/01
	E	2002/0007831(A1)	1/24/02	Davenport <i>et al.</i>	128/200.24	7/18/01
	F	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57
	G	3,657,744	04/25/72	Ersek	128/334	05/08/70
	H	3,788,327	01/29/74	Donowitz <i>et al.</i>	128/350	03/30/71
	I	3,874,388	04/01/75	King <i>et al.</i>	128/334	02/12/73
	J	4,014,318	03/29/77	Dockum <i>et al.</i>	128/1	05/22/75
	K	4,212,463	07/15/80	Repinski <i>et al.</i>	273/418	02/17/78
	L	4,302,854	12/01/81	Runge	3/1.7	06/04/80
	M	4,846,836	07/11/89	Reich	623/11	10/03/88
	N	4,852,568	08/01/89	Kensey	128/325	12/28/87
	O	4,968,294	11/06/90	Salama	600/30	02/09/89
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	R	5,306,234	04/26/94	Johnson	604/49	03/23/93
	S	5,352,240	10/04/94	Ross	623/2	05/31/89
	T	5,366,478	11/22/94	Brinkerhoff <i>et al.</i>	660/213	07/27/93
	U	5,409,019	04/25/95	Wilk	128/898	11/03/93
	V	5,413,599	05/09/95	Imachi <i>et al.</i>	623/2	12/13/93
<i>✓</i>	W	5,645,565	07/08/97	Rudd <i>et al.</i>	606/213	06/13/93

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*[Handwritten Signature]*

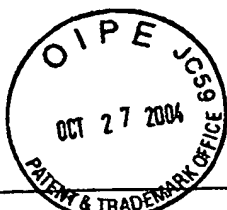
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<i>UC</i>	X	5,697,968	12/16/97	Rogers <i>et al.</i>	623/1	08/10/95
	Y	5,800,339	09/01/98	Salama	600/29	05/02/97
	Z	5,851,232	12/22/98	Lois	623/1	3/13/97
	AA	5,855,597	01/5/99	Jayaraman	623/1	5/7/97
	AB	5,944,738	08/31/99	Amplatz <i>et al.</i>	606/213	02/06/98
	AC	5,947,997	09/07/99	Pavcnik <i>et al.</i>	606/213	06/30/97
	AD	5,976,174	11/02/99	Ruiz	606/213	12/15/97
	AE	6,068,638	05/30/00	Makower	606/159	10/27/98
	AF	6,077,291	06/20/00	Das	606/213	11/26/96
	AG	6,135,729	10/24/00	Aber	417/420	07/09/99
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	AM	01/13908 (A2)	03/01/01	PCT	
	AN	01/54685 (A1)	08/02/01	PCT	
	AO	01/95786 (A2)	12/20/01	PCT	
	AP	02/05884 (A2)	1/24/02	PCT	
<i>✓</i>	AQ	02/34322 (A2)	5/2/02	PCT	

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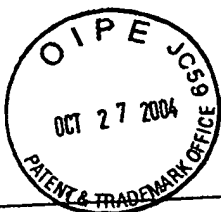
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AR	02/47575 (A2)	06/20/02	PCT	
AS	02/094087 (A1)	11/28/02	PCT	
AT	03/022124 (A2)	03/20/03	PCT	
AU	2140211	10/27/99	RU	X
AV	852321	07/08/81	SU	X

x English Language Derwent is enclosed.

**OTHER DOCUMENTS**

† EXR INITIAL	REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
AW	AW	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." <i>J. of Pediatric Surgery</i> , 29:12, 1994: 1545-1547.
AX	AX	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"
AY	AY	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
AZ	AZ	Harris et al., "The Experimental Production in Dogs of Emphysema with Associated Asthmatic Syndrome by Means of an Intratracheal Ball Valve." <i>J. Exp Med</i> 30:1919; 75-88
BA	BA	Lewis et al. "Pulmonary Interstitial Emphysema: Selective Bronchial Occlusion with a Swan-Ganz Catheter." <i>Archives of Disease In Childhood</i> , 63:1988, 313-315
BB	BB	Mathew et al. "Selective bronchial obstruction for treatment of bullous interstitial emphysema." <i>J. of Ped.</i> 96:1980, 475-477
BC	BC	Okada et al. "Emergent Bronchofiberoptic Bronchial Occlusion for Intractable Pneumothorax with Sever Emphysema." <i>The Jap. J. of Thor. And Cardio. Sur.</i> 46:11, 1998 1078-1081
BD	BD	Puhakka et al. "Acute Bronchial Obstruction: An Experimental Rabbit Model Study." <i>Int. J. of Pediatric Otorhinolaryngology</i> . 18:1989, 107-118.
BE	BE	Snider et al., <i>The Definition of Emphysema: Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis.</i> , 132:182, 1985

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